

2188

TRANSMITTAL FORM (to be used for all correspondence after initial filing)			Application No.	09/7	53,220
			Filing Date	Dece	ember 29, 2000
			First Named Inventor	Joshi	ua L. Coates
Ţ.			Art Unit	2188	
		Examiner Name	Kevi	n L. Ellis	
Total Number of P	ages in This Submissi	on	Attorney Docket Number	r 4239	0P19172X4
	ENCLOS	SURES (chec	k all that apply)		
Fee Transmittal	Form	Drawing(s)			After Allowance Communication to TC
Fee Attack	hed	Licensing-r	elated Papers		Appeal Communication to Board of Appeals and Interferences
Amendment / Re	esponse	Petition			Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
After Fina Affidavits/	l declaration(s)	Petition to 0 Provisional	Convert a Application		Proprietary Information
Extension of Tim	ne Request	Power of A Change of	torney, Revocation Correspondence Address Status Letter		Status Letter
Express Abandonment Request		Terminal D	isclaimer		Other Enclosure(s) (please identify below):
☐ Information Disclosure Statement		Request for	r Refund	F	Return postcard
PTO/SB/08		CD, Numbe	er of CD(s)		
Certified Copy of Priority Document(s)		Lands	cape Table on CD		
Response to Mis Incomplete Appl		Remarks			
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ليبا	e to Missing er 37 CFR				
		L E OF APPLICAN	NT, ATTORNEY, OR A	GENT	
Firm	Mark C. Van N	ess, Reg. No. 3	39,865		·
or Individual name BLAKELY, SOKOLOF			TAYLOR & ZAF	MAN !	LLP
Signature					
Date January 4, 2006					
			ING/TRANSMISSION		
	irst class mail in an en				e on the date shown below with hissioner for Patents, P.O. Box
Typed or printed na	me Gigi Hoover				
Signature Ligh		Hoover		Date	January 4, 2006

TRANSMITTAL for FY 2005 Complete if Known 09/753,220 **Application Number** Filing Date December 29, 2000 First Named Inventor Joshua L. Coates **Examiner Name** Kevin L. Ellis Applicant claims small entity status. See 37 CFR 1.27. Art Unit 2188 **TOTAL AMOUNT OF PAYMENT** (\$)

Attorney Docket No.

42390P19172X4

METHOD OF PAYMENT (check all that apply)								
☐ Check ☐ Credit card ☐ Money Order ☒ None ☐ Other (please identify):								
Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP								
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge any additional fee(s) or underpayment of fee(s) Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.								
FEE CALCULATION								
Large Er	Large Entity Small Entity							
Fee	Fee	Fee	Fee	Foo Description	Faa Daid			
Code	(\$)	Code	(\$)	Fee Description	Fee Paid			
1051	130	2051	65	Surcharge - late filing fee or oath				
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.				
2053	130	2053	130	Non-English specification				
1251	120	2251	60	Extension for reply within first month				
1252	450	2252	225	Extension for reply within second month				
1253	1,020	2253	510	Extension for reply within third month				
1254	1,590	2254	795	Extension for reply within fourth month				
1255	2,160	2255	1,080	Extension for reply within fifth month				
1401	500	2401		Notice of Appeal				
1402	500	2402	250	Filing a brief in support of an appeal				
1403	1,000	2403		Request for oral hearing				
1451	1,510	2451		Petition to institute a public use proceeding				
1460	130	2460	130	Petitions to the Commissioner				
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)				
1806	180	1806	180	Submission of Information Disclosure Stmt				
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))				
1810	790	2810		For each additional invention to be examined (37 CFR § 1.129(b))				
Other f	ا ee (spe	cify)	·					
	• •			SUBTOTAL (2) (\$)				

SUBMITTED BY Complete (if applicable)							
Name (Print/Type)	Mark C. Van Ness	Registration No. (Attorney/Agent)	39,865	Telephone	(503) 439-8778		
Signature	1111/19/19			Date	01/04/06		

Complete if Known EE TRANSMITTAL Application Number 09/753,220 for FY 2005 Filing Date December 29, 2000 First Named Inventor Patent fees are subject to annual revision. Joshua L. Coates Examiner Name Kevin L. Ellis Applicant claims small entity status. See 37 CFR 1.27. Art Unit 2188 **TOTAL AMOUNT OF PAYMENT** Attorney Docket No. 42390P19172X4 METHOD OF PAYMENT (check all that apply) ☐ Check ☐ Credit card ☐ Money Order ☒ None Other (please identify): Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below, except for the filing fee Charge fee(s) indicated below ☐ Charge any additional fee(s) or underpayment of fee(s) Credit any overpayments

FEE CALCULATION

under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

ntity	Small	Entity			
Fee	Fee	Fee	Fee Description	Fee Paid	
(\$)	Code	(\$)	1 33 233311711311	i ee i alu	
130	2051	65	Surcharge - late filing fee or oath		
50	2052	25	Surcharge - late provisional filing fee or cover sheet.		
130	2053	130	Non-English specification	7	
120	_ 2251_	60	Extension for reply within first month-		
450	2252	225	Extension for reply within second month		
1,020	2253	510	Extension for reply within third month		
1,590	2254	795	Extension for reply within fourth month		
2,160	2255	1,080			
500	2401	250	Notice of Appeal		
500	2402	250	Filing a brief in support of an appeal		
1,000	2403	500	Request for oral hearing		
1,510	2451	1,510	Petition to institute a public use proceeding		
130	2460				
50	1807	50	Processing fee under 37 CFR 1.17(q)		
180	1806	180	Submission of Information Disclosure Stmt		
790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))		
790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))		
ee (spe	cify) _				
	• •		SUBTOTAL (2) (\$)		
	(\$) 130 50 130 120 450 1,020 1,590 2,160 500 1,000 1,510 130 50 180 790 790	Fee (\$) Code 130 2051 50 2052 130 2053 120 2251 450 2252 1,020 2253 1,590 2254 2,160 2255 500 2401 500 2402 1,000 2403 1,510 2451 130 2460 50 1807 180 1806 790 1809	Fee (\$) Fee Code (\$) 130 2051 65 50 2052 25 130 2053 130 120 2251 60 450 2252 225 1,020 2253 510 1,590 2254 795 2,160 2255 1,080 500 2401 250 500 2402 250 1,000 2403 500 1,510 2451 1,510 130 2460 130 50 1807 50 180 1806 180 790 1809 395 790 2810 395	Fee (\$) Fee Fee (\$) Fee Description 130 2051 65 Surcharge - late filing fee or oath 50 2052 25 Surcharge - late provisional filing fee or cover sheet. 130 2053 130 Non-English specification 120 2251 60 Extension for reply within first month 450 2252 225 Extension for reply within second month 1,020 2253 510 Extension for reply within third month 1,590 2254 795 Extension for reply within fourth month 2,160 2255 1,080 Extension for reply within fifth month 500 2401 250 Notice of Appeal 500 2402 250 Filing a brief in support of an appeal 1,000 2403 500 Request for oral hearing 1,510 2451 1,510 Petition to institute a public use proceeding 130 2460 130 Petitions to the Commissioner 50 1807 50 Processing fee under 37 CFR 1.17(q) 180 1806 180 Submission of Information Disclosure Stmt 790 1809 395 Filing a submission after final rejection (37 CFR § 1.129(a)) 790 2810 395 For each additional invention to be examined (37 CFR § 1.129(b))	



Docket No.: 42390P19172X4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

JOSHUA L. COATES, ET AL.

Application No.: 09/753,220

Filed: December 29, 2000

For: DYNAMIC REPLICATION OF FILES IN

A NETWORK STORAGE SYSTEM

Art Group: 2188

Examiner: Kevin L. Ellis

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: <u>January 04, 2006</u>

12400 Wilshire Boulevard, 7th Floor

Los Angeles, CA 90025 Telephone: (503) 439-8778

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Gigi Hoover

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01-04-06

Date

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Sheet 1 of

Co	mplete if Known
Application Number	09/753,220
Filing Date	December 29, 2000
First Named Inventor	Joshua L. Coates
Art Unit	2188
Examiner Name	Kevin L. Ellis
Attorney Docket Number	42390P19172X4

	U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No.'	Document Number Number - Kind Code² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
	1	US-6,405,201	06-11-2002	Nazari					
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	FOREIGN PATENT DOCUMENTS								
Examiner	Examiner Cite	Foreign Patent Document			Pages, Columns, Lines,				
Initials*	No.'	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	, ,			
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Examiner	Date	
Signature	Considered	

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

^{&#}x27;Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.